

Regular Listco CPD Training

Course ref: PDP/2502/01

HKEx Corporate Governance Code – Practices & Updates with new requirements on Risk Management & Internal Control

Date

6 Feb 2025 (Thur)

LanguageCantonese
(Handout in English)**Venue**HKMA Training Center in
Wan Chai**Rundown**6:45pm Registration
7:00pm Seminar begins
9:00pm Ends**Fee**HK\$350 Normal Fee
HK\$250 Member Fee**CPD Hours**2 hours
(please refer to Note 5)**Course Outline:**

The Hong Kong Exchange published a Consultation Conclusion on proposed changes to the CG Code and related amendments to the Listing Rules in Dec 2024. Following the new amendments of CG Code published in the Consultation Conclusion, the related Listing Rules will come into effect on 1 July 2025. The major amendments included the following :-

Risk Management and Internal Control

- ① Upgrade to Mandatory Disclosure: require enhanced disclosure in the CG Report on the board's review of the effectiveness of the risk management and internal control systems, which shall be conducted at least annually ("RMIC Systems")
- ② Other enhancements to the RMIC sections of the CG Code

Other Governance Areas

- ① Designation of a Lead INED
- ② Mandatory director training
- ③ Board performance review
- ④ Overboarding INED and directors' time commitment
- ⑤ Independence of INEDs after nine years (long-serving INED)
- ⑥ Board and Workforce Diversity
- ⑦ Dividend policy

The speaker will give a brief summary of the amendments to the CG Code and related rules. He also will give some practical sharing on the possible impacts on key areas like Risk Management and Internal Control, Board Structure and Succession.

Who Should Attend?

This seminar is suitable for **Directors, CFOs, Compliance Officers and Company Secretaries.**

Our key features

- Specialised on Listco practices
- Connections to renowned speakers
- Verifiable CPD hours
- Professional community networking

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Speaker(s):
Mr. Alvan Kwok, Managing Director, ListcoPRO Services Limited
EMBA (Kellogg-HKUST), FCCA, FHKIoD, BSc(Hons)

Mr. Kwok is the managing director of ListcoPRO/ KAP Advisory Partners Limited. He has over 30 years of experience in external and internal auditing, financial management and governance advisory. Mr. Kwok is a fellow member of the Association of Chartered Certified Accountants (ACCA) since 1997 and advise several listed companies in Hong Kong in internal control and corporate governance issues. Before founding ListcoPRO, Mr Kwok worked for E&Y as auditor and served a number of listed companies in Hong Kong as executive/independent director and audit committee members. He also served for two investment banks in Hong Kong and was a registered as a licensed Corporate Finance Director regulated by Hong Kong Securities and Future Commission.



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Part A - Company Details

Company	<input type="text"/>	Stock Code	<input type="text"/>
Contact person	<input type="text"/>	Contact Tel	<input type="text"/>
E-mail	<input type="text"/>		

Part B - Personal Particulars of Attendant

Title	<input type="text" value="Dr. / Mr. / Mrs. / Ms."/>	Position	<input type="text"/>
Name	<input type="text"/>	(Please provide full name for CPD Certificate issuance)	
E-mail	<input type="text"/>	Contact Tel	<input type="text"/>

Member^ Yes No

^For details of our membership scheme, please refer to our website at www.ListcoPRO.com or contact our staff at (852) 3628 5722.

Part C - Session Enroll

6 February 2025 (Thur) (19:00 – 21:00) *(Enrollment deadline is on 30 Jan 2025)*

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To enroll, please select one of the followings:

- Normal Fee (HK\$350)
- Member Fee (HK\$250)
- Regular / Premium Member (Complimentary)

Part D - Enrollment Procedure

Please simply:

1. Fill in this form and fax to (852) 2528 9018 or e-mail to info@listcopro.com; AND
2. Send your cheque* together with a copy of the enrollment form within TWO days from your enrollment to:

ListcoPRO (CPD Training Enrollment)
Suite 1204, 12/F., Berkshire House, Taikoo Place, 25 Westlands Road, Quarry Bay, Hong Kong

- *Note :
- Your enrollment will only be confirmed upon the receipt of your cheque.
 - All cheques should be made crossed payable to "**ListcoPRO Services Limited**".
 - Please also mark your name and contact number at the back of your cheque.
 - Post-dated cheque will not be accepted.

• Important Notes •

1. Reservation is on a first-come-first-served basis
2. ListcoPRO Services Limited reserves the right to cancel or make any changes to the event. No refund will be made unless the event is cancelled or over-enrolled
3. NO REFUND / CANCELLATION will be entertained after application is processed. If you are unable to attend the enrolled program, you may nominate a substitute to take your place, provided that written notification has submitted at least two working days prior to the event.
4. Tropical Cyclone and Heavy Persistent Rainstorm Arrangements , please refer to our website at www.listcopro.com
5. Participants may claim CPD points/hours with professional associations, subject to the verification process of the respective professional association. For details, please contact the relevant association directly.